The syntheses and NMR studies of hexadeca- and octaneopentoxyphthalocyanines

Namrta Bhardwaj, John Andraos, and Clifford C. Leznoff

Abstract: The syntheses of 3,6-dineopentoxyphthalonitrile and 3,4,5,6-tetra-neopentoxyphthalonitrile are described. Condensation of these phthalonitriles with nickel chloride in N,N-dimethylaminoethanol yielded 1,4,8,11,15,18,22,25-octaneopentoxyphthalocyaninato nickel(II) (3) and 1,2,3,4,8,9,10,11,15,16,17,18,22,23,24,25-hexadecaneopentoxyphthalocyaninato nickel(II) (7). The $^1$H NMR spectra of these phthalocyanines and the related 2,3,9,10,16,17,23,24-octaneopentoxyphthalocyaninato nickel(II) (8) at temperatures from 205 to 330 K in toluene-$d_8$ exhibited various degrees of restriction of rotation of the neopentoxy groups. Compound 7 exhibited a single atropisomer at 235 K.

Key words: neopentoxy substituted phthalocyanines, variable temperature NMR, restricted rotation.

Résumé : On décrit les synthèses des 3,6-dineopentoxy- et 3,4,5,6-tétranéopentoxyphthalonitriles. La condensation de ces phthalonitriles avec du chlorure de nickel dans du N,N-diméthylaminoéthanol conduit à la formation des 1,4,8,11,15,18,22,25-octaneopentoxyphthalocyaninato nickel(II) (3) et 1,2,3,4,8,9,10,11,15,16,17,18,22,23,24,25-hexadécaneopentoxyphthalocyaninato nickel(II) (7). Les spectres RMN du $^1$H de ces phthalocyanines et du 2,3,9,10,16,17,23,24-octaneopentoxyphthalocyaninato nickel(II) (8), à des températures de 205 à 330 K, dans le toluène-$d_8$, mettent en évidence des degrés de restriction à la rotation divers pour les groupes néopentoxy. Le composé 7 se présente sous la forme d’un seul atropisomère, à 235 K.

Mots clés : phthalocyanines substituées par des groupes néopentoxy, RMN à température variable, rotation restreinte.

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Introduction

Phthalocyanines have attracted a great deal of interest due to their unique properties that include extremely high thermal stability and chemical resistivity (1, 2). Traditionally, phthalocyanines have been used as dyes (1, 2) but recently they have found wide applications (3) in fields including liquid crystals (4, 5), chemical sensors (3, 6, 7), photodynamic therapy of cancer (3, 8), and nonlinear optics (9–11). Applications of peripherally unsubstituted phthalocyanines are limited due their insolubility in common organic solvents and water (10, 11). Phthalocyanines possess an extended π-conjugated electron system which permits π stacking (aggregation) between planar macrocycles, provided the distance between the macrocycles is small (10). Adding substituents to the periphery of the macrocycles increases their solubility since these substituents increase the distance between the stacked phthalocyanines and enable their solvation (10, 12). For many years the neopentoxy group has been used as a bulky substituent to enhance solubility (13–15).

Both 3-neopentoxy- (16) and 4-neopentoxy phthalonitriles (13) were available, but these mononeopentoxy phthalonitriles gave phthalocyanines as mixtures of regioisomers (13, 16). Unfortunately, for many applications, it is preferable to have both soluble and symmetric phthalocyanines. With this idea in mind, we set out to synthesize symmetrical polyneopentoxyphthalonitriles, for condensation to very soluble symmetrical multineopentoxy-substituted phthalocyanines. In the course of this work we noticed considerable restricted rotations of the neopentoxy substituents on the phthalocyanines as shown by NMR spectroscopy in temperature coalescence experiments.

Results and discussions

Synthesis

Treatment of 2,3-dicyano-1,4-hydroquinone (1) with sodium methoxide in methanol at room temperature provided the disodium salt of 1. This salt reacted with neopentyl tosylate in hexamethylphosphoramide (HMPA) at 110°C for 4 days to give 3,6-dineopentoxyphthalonitrile (2) in 62% yield. Condensation (17) of 2 with NiCl$_2$ in 2-N,N-dimethylaminoethanol (DMAE) at reflux (134°C) gave the symmetrical 1,4,8,11,15,18,22,25-octaneopentoxyphthalocyaninato nickel(II) (3) in 22% yield (Scheme 1).

Using a different strategy (11) for the preparation of substituted phthalonitriles, commercially available 3,4,5,6-tetrafluorophthalonitrile (4) reacted with excess neopentyl alcohol in N,N-dimethylformamide (DMF) at 100°C in the presence of potassium carbonate to give 3,4,5,6-tetra-neopentoxyphthalonitrile (5) in 65% yield. We recently described the preparation of the only other symmetrical neopentoxy-substituted phthalonitrile, namely, 4,5-dineopentoxyphthalonitrile (6) (18). Condensation of 5 and 6 as


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above gave, respectively, the new 1,2,3,4,8,9,10,11,15,16-, 17,18,22,23,24,25-hexadecaneopentoxyphthalocyaninato nickel(II) (7) in 15% yield and the previously reported (18) 2,3,9,10,16,17,23,24-octaneopentoxyphthalocyaninato nickel(II) (8) (Scheme 2). Phthalocyanines 3, 7, and 8 were highly soluble in cyclohexane, benzene, toluene, tetrahydrofuran, and chloroform. The FAB and Maldi mass spectra of 3, 7, and 8 (18) all gave parent ion clusters. Comparison of the UV–vis spectra of 3, 7, and 8 (18) show the expected red-shifts in 3 and 7 due to the alkoxy substituents at the 1,4-positions. Thus, the $\lambda_{max}$ of the Q (0.0) band of 3 at 748 nm, 7 at 758 nm, and 8 at 672 nm is consistent with other studies, except that the $\lambda_{max}$ of 7 at 758 nm is over 13 nm red-shifted compared to another less-hindered, branched hexadeca(hexyloxy- and hexadeca(2-ethyl)hexyl- oxy-substituted phthalocyaninato nickel (11). Highly hindered substituents at the 1,4 positions of 3 and even more so of 7 obviously enhances the red-shift of the Q band with the phthalocyanine (Pc) nickel 7 representing one of the most red-shifted alkoxy-substituted Pc nickel known. Although

metal-free 8 had been made (18), some attempts to make metal-free 3 and 7 were unsuccessful.

**NMR studies**

Examination of the NMR spectra of the two octaneopentoxyphthalocyanines 3 and 8 at room temperature in benzene-$d_6$ exhibited the expected three singlets for each compound: 7.40, 4.39, and 1.17 ppm for 3 representing the aromatic, alkoxy, and tert-butyl protons, and similarly, absorptions at 8.93, 3.88, and 1.26 ppm for 8. In the NMR spectrum at room temperature (293 K) of the hexadecaneopentoxyphthalocyanine 7, however, no aromatic protons were present and one would expect two different alkoxy and
tert-butyl absorptions representing the two different positions of the neopentoxy groups. In fact, two different peaks at 1.39 (9 H) and 1.22 ppm (9 H) for the two kinds of methyl signals were apparent but only a single peak at 4.55 ppm (2 H) corresponding to one kind of OCH2 group could be accounted for. Though one alkoxy group was missing, a faint bulge in the baseline at ~4 to 5 ppm suggested that restricted rotation of the neopentoxy groups was the likely reason for its absence. Thus, 3, 7, and 8 were all subjected to temperature-dependent NMR studies to examine this phenomenon, well-known in other related systems including porphyrins (19), neopentylbenzenes (20–22), neopenthynaphthalenes (23), but to the best of our knowledge not phthalocyanines. Table 1 summarizes the relevant thermokinetic parameters obtained from standard lineshape analyses (24–26) for the three phthalocyanines reported in this work.

Pc7

Figure 1 shows the spectral changes observed in the OCH2 region from 235 to 355 K in toluene-d8 solvent (27). The spectrum of 7 at room temperature (293 K) exhibited two different tert-butyl signals at 1.37 and 1.22 ppm as expected, but only a single OCH2 peak at 4.57 ppm. Increasing the temperature of the sample during the NMR experiment resulted in the appearance of a new singlet at 4.82 ppm which was still not as sharp as the other OCH2 peak, now at 4.49 ppm, even at 355 K. In attempts to freeze out rotations of 7, NMR spectra were recorded at lower temperatures. At 235 K, one OCH2 signal showed a typical AB splitting pattern giving a unique chemical shift centered at 4.61 ppm, presumably for the 2,3,9,10,16,17,23,24-neopentoxy-OCH2 group of 7. The 1,4,8,11,15,18,22,25-neopentoxy-OCH2 groups showed what at first may be regarded as two widely separated doublets at 6.05 and 3.88 ppm, respectively. Actually, this is a second AB quartet centered at 5.03 ppm. Lineshape analyses of both OCH2 signals according to a two-site equal population coupled two-site exchange model (24–26) corresponding to two pairs of diastereotopic protons confirms the steric demands imposed by the neopentoxy groups. Nearly identical results were obtained for each OCH2 group: an enthalpy of activation of about 10 kcal mol−1 and a negative valued entropy of activation of about −10 cal K−1 mol−1 that contribute to a free energy of activation of about 13 kcal mol−1 at 298 K. These findings give support for correlated motions in the neopent oxy groups and suggest that all of them are relatively fixed on the NMR time scale at 235 K and 7 occurs as a single atropisomer at 235 K probably with the 16 neopent oxy groups in an alternating configuration as shown in Fig. 2. Another hexadeca substituted Pc was shown to exhibit a non-planar saddle shape in the solid state (28).

Pc3

Figure 3 shows the spectral changes observed in the OCH2 region from 225 to 300 K in toluene-d8 solvent. Superimposed on these spectral changes in this region is a curious phenomenon involving the sudden appearance of an anomalous peak at 5.77 ppm at 265 K which becomes prominent at 255 K and disappears by 235 K. We believe this to be water as the total integration of all water peaks remains constant and a temperature-dependent NMR study of the blank pure toluene-d8 solvent also produced this peak over the same temperature range. A temperature-dependence study of the OHD peak in toluene-d8 and other solvents has appeared but no mention was made of the sudden appearance of a new OHD absorption in a narrow temperature range (27). A sharp singlet for the OCH2 peak at 4.38 ppm at a temperature of 310 K remained sharp until 225 K when significant broadening occurred. By 205 K the singlet split into two separate peaks, but at this temperature the two protons still did not split each other as would be expected for diastereotopic protons. The series of spectra in this region were subjected to lineshape analyses according to an equal population uncoupled two-site exchange model (24–26). The resulting thermokinetic parameters were as follows: \( \Delta H^\ddagger = 15.6 \pm 0.7 \text{ kcal mol}^{-1}, \Delta S^\ddagger = 25.6 \pm 3.5 \text{ cal K}^{-1} \text{ mol}^{-1}, \text{ and } \Delta G^\ddagger_{298} = 8.0 \pm 0.3 \text{ kcal mol}^{-1}. \) By contrast no formal coalescence behaviour was observed in the aromatic and tert-butyl regions of the spectrum over the same temperature range. The aromatic peak shifts slightly from 7.37 to 7.17 ppm while the tert-butyl protons shift negligibly from 1.21 to 1.23 ppm. However, concomitant with the splitting of the OCH2 signal as the temperature was lowered, line broadening was also observed in the tert-butyl signal suggesting that coalescence phenomena may be observable in this region at much lower temperatures than examined here.

Results for this phthalocyanine indicate that different molecular motions are occurring for 3 than for the sterically congested 7. The estimated free energy barrier in 3 is about 5 kcal mol−1 lower than for 7 (see Table 1). The large enthalpy of activation is offset by a large positive entropy of activation and is consistent with higher degrees of freedom for motion of the neopent oxy groups in 3 than in 7. In 7 it is unlikely that rotation about the C(Ar)—O or O—CH2 bonds is facilitated since this will cause the tert-butyl groups to interfere with one another. It is known from previous dynamic NMR studies of neopentylaromatics that energy barriers for rotation about C(Ar)—CH2 bonds are generally at least 5 kcal mol−1 higher than about CH2—C(CH3)3 bonds (20–23). As noted above it is expected that the hydrogens of the OCH2 should be diastereotopic as in each of the corresponding groups in 7. However, resolution was not possible since an estimate of \( T_2^{-1} \) for both peaks in 3 at 225 K was nine times larger than the expected value of the coupling constant (J) of 10 Hz as observed in 7. Reevaluation of the lineshapes for the OCH2 region including a coupling constant contribution of 10 Hz gave identical thermokinetic parameters.

Pc8

The 1H NMR spectrum of 8 shows the most complicated pattern of the three phthalocyanines examined. Figs. 4a–c show the spectral changes in the aromatic, OCH2, and tert-butyl regions from 225 to 300 K in toluene-d8 solvent. At room temperature, three singlets at 8.95, 3.85, and 1.28 ppm for the aromatic, OCH2, and tert-butyl groups, respectively, are observed. At 260 K significant broadening occurs in all peaks to the point where they almost disappear into the baseline. At 225 K two distinct aromatic peaks of unequal intensity are apparent at 9.31 and 8.62 ppm; similarly, two distinct tert-butyl peaks are observed at 1.39 and 1.25 ppm.
In the OCH$_2$ region, the singlet at 3.85 ppm becomes an asymmetric triplet as the temperature is lowered. Lineshape analyses according to an unequal population two-site exchange model (26) resulted in a population distribution of $p_A = 0.56$ and $p_B = 0.44$ and estimates of free energy barriers of about 12 kcal mol$^{-1}$ for both aromatic and tert-butyl regions of the spectrum. These barriers are consistent with those found for 7 as would be expected for interaction between neopentoxy groups ortho to one another. Simulation of the asymmetric triplet for the OCH$_2$ region is more complex and involves the superposition of two unequal population two-site exchange lineshapes. Again, the same population distribution was found as for the aromatic and tert-butyl regions with an estimated free energy barrier of about 12 kcal mol$^{-1}$ in each case. The implication of this simulation is that each atropisomer is composed of two different OCH$_2$ groups: at 230 K a minor conformer has clearly resolvable signals at 3.51 and 4.05 ppm while a major conformer has two very closely spaced signals centered at 3.73 ppm which are not resolvable due to pronounced line broadening. It is expected that the absence of neighbouring neopentoxy groups in the 1, 4, 8, 11, 15, 18, 22, and 25 positions would make these groups more mobile and thus lead to increased broadening of all signals and particularly to complex lineshapes in the OCH$_2$ region of the spectrum. This is clearly evident when the spectra for 8 are compared with those for 7. Alternatively, the two distinct aromatic peaks could be due to monomer–dimer equilibria of aggregating phthalocyanines, which is more plausible for the less-hindered 8 than for 3 and 7. This hypothesis, however, was

### Table 1. Summary of thermokinetic parameters obtained from lineshape analyses performed on various regions of $^1$H NMR spectra for 3, 7, and 8.

<table>
<thead>
<tr>
<th></th>
<th>$\Delta H^\circ$ (kcal mol$^{-1}$)</th>
<th>$\Delta S^\circ$ (cal K$^{-1}$ mol$^{-1}$)</th>
<th>$\Delta G_{298}^\circ$ (kcal mol$^{-1}$)</th>
<th>$k_c$ (S$^{-1}$)</th>
<th>$T_c$ (K)</th>
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</thead>
<tbody>
<tr>
<td><strong>OCH$_2$ region</strong></td>
<td></td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>3$^a$</td>
<td>15.6 ± 0.7</td>
<td>25.6 ± 3.5</td>
<td>8.0 ± 1.3</td>
<td>418</td>
<td>219</td>
</tr>
<tr>
<td>7$^b$ (5.03 ppm)</td>
<td>10.1 ± 0.4</td>
<td>−9.5 ± 1.5</td>
<td>12.9 ± 0.6</td>
<td>1790</td>
<td>288</td>
</tr>
<tr>
<td>7$^b$ (4.61 ppm)</td>
<td>10.0 ± 0.4</td>
<td>−11.1 ± 1.4</td>
<td>13.3 ± 0.6</td>
<td>146</td>
<td>263</td>
</tr>
<tr>
<td>8$^c$</td>
<td>13.8 ± 0.5 (A)</td>
<td>6.8 ± 2.1 (A)</td>
<td>11.8 ± 0.5 (A)</td>
<td>151 (A)</td>
<td>251</td>
</tr>
<tr>
<td></td>
<td>13.8 ± 0.5 (B)</td>
<td>7.3 ± 2.1 (B)</td>
<td>11.6 ± 0.5 (B)</td>
<td>192 (B)</td>
<td></td>
</tr>
<tr>
<td><strong>Aromatic region</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8$^c$</td>
<td>11.3 ± 0.4 (A)</td>
<td>−2.8 ± 1.6 (A)</td>
<td>12.1 ± 0.4 (A)</td>
<td>384 (A)</td>
<td>259</td>
</tr>
<tr>
<td></td>
<td>11.3 ± 0.4 (B)</td>
<td>−2.3 ± 1.6 (B)</td>
<td>12.0 ± 0.4 (B)</td>
<td>489 (B)</td>
<td></td>
</tr>
<tr>
<td><strong>tert-Butyl region</strong></td>
<td></td>
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<tr>
<td>8$^c$</td>
<td>13.4 ± 0.4 (A)</td>
<td>6.2 ± 1.6 (A)</td>
<td>11.6 ± 0.4 (A)</td>
<td>93 (A)</td>
<td>242</td>
</tr>
<tr>
<td></td>
<td>13.4 ± 0.4 (B)</td>
<td>5.9 ± 1.6 (B)</td>
<td>11.6 ± 0.4 (B)</td>
<td>73 (B)</td>
<td></td>
</tr>
</tbody>
</table>

$^a$Equal populations, $J = 0$ Hz.
$^b$Equal populations, $J = 10$ Hz.
$^c$Unequal populations ($p_A = 0.56$, $p_B = 0.44$), $J = 0$ Hz.
ruled out as $^1$H NMR spectra of 8 run at 235 K at two different sample concentrations resulted in negligible effects on the absorption of the aromatic protons.

**Experimental section**

**General methods**

Inert atmosphere conditions were maintained using Matheson high purity argon. Magnetic stirring methods were utilized during reaction processes. Flash chromatography was performed using silica gel of particle size 40–63 μm. Melting points (mp) were determined using a Kofler hot stage or a Fisher Johns melting point apparatus and are uncorrected.

Nuclear magnetic resonance (NMR) spectroscopy for proton and carbon was performed using a Bruker ARX 400 high-field Fourier transform instrument at room temperature, unless noted otherwise. Chemical shifts are reported in parts per million (δ). The splitting patterns of proton resonances are described as singlets (s), doublets (d), or triplets (t). Coupling constants are reported in Hz for signals that are doublets. Proton-decoupled chemical shifts are reported for the $^{13}$C NMR resonances.

Infrared (IR) spectra were recorded on a Unicam Matheson 3000 FT IR spectrometer using KBr discs for solid samples and NaCl discs for liquid samples. Ultraviolet–visible spectra (UV–vis) were recorded on a Hewlett-Packard HP8451A diode array spectrophotometer. Mass spectra (MS) were recorded at 70 eV on a Kratos Profile mass spectrometer in the EI mode for lower molecular weight molecules and in the FAB or MALDI mode for higher molecular weight molecules. Microanalyses were performed by Guelph Chemical Laboratory Ltd., Guelph, Ontario.

**Lineshape analyses: data acquisition**

All temperature studies were performed on a Bruker ARX 400 spectrometer using samples prepared in toluene-$d_8$ solvent. $^1$H NMR spectra were first recorded at room tempera-
ture and then reacquired at lower or higher temperatures in increments of 5°C. Temperatures were read directly from a thermocouple surrounding the probe and are estimated to be within 2 to 3°C of the actual temperature of the samples. At each temperature the probe was allowed to equilibrate for about a minute before acquiring data. Test runs at longer equilibration periods (about 10 min) gave spectra identical to those recorded at the above conditions.

Lineshape analyses: data analysis
Digitized spectra were first converted into readable format using [Spec Viewer Free Version] (Advance Chemistry Development). Relevant regions of the spectra were then subjected to lineshape analyses according to expressions given by Sandström (24), Sutherland (25), and Gutowsky and Holm (26) using KaleidaGraph 3.5 (Synergy) software. To account for spectral drift at different temperatures, spectra obtained at higher temperatures were referenced against those recorded at the lowest temperature. Simulated spectra were consistent with two-site exchange systems of either equal or unequal populations. Corresponding estimates of thermokinetic parameters and coalescence temperatures were obtained using standard Eyring expressions given previously (24–26).

3,6-Dineopentoxyphthalonitrile (2)
To 0.151 g (6.56 mmol) of sodium metal dissolved in 5 mL of methanol was added 500 mg (3.13 mmol) of 2,3-dicyanohydroquinone (1). This mixture was stirred at room temperature for 2 h. After this time, the methanol was removed under vacuum and to the resulting residue was added 10 mL of HMPA (caution: carcinogenic) and 2.27 g (9.38 mmol) of neopentyl tosylate. This mixture was stirred at 110°C for 4 days, cooled to room temperature, and poured into 50 mL of water. The product was extracted using 3 × 50 mL of ether. The combined organic layers were washed with 100 mL of water, followed by 100 mL of brine, and then dried over MgSO₄. The ether was removed under vacuum and the crude product was purified by flash silica gel column chromatography using 20% ethyl acetate and 80% hexane as the eluting solvents. The desired product was obtained as a white solid in 62% yield (0.58 g); mp 179–181°C. IR (KBr) (cm⁻¹): 2961, 2229. ¹H NMR (CDCl₃) (rel intensity): 7.40 (s, 2H), 4.39 (s, 2H), 1.17 (s, 9H). FAB-MS m/z: 1260 (M + 1).

1,2,3,4,8,9,10,11,15,16,17,18,22,23,24,25-Hexadecaneopentoxyphthalocylinanato nickel (7)
A mixture containing 0.2 g (0.42 mmol) of tetrachlorophthalocyanine (2) and 33.5 mg (0.14 mmol) of NiCl₂ in 4.2 mL of DMAE was heated to reflux for 48 h. After cooling to room temperature, the reaction mixture was transferred to test tubes. Precipitation of the nickel phthalocyanine was achieved by the addition of water. The precipitate was collected by centrifugation and washed twice with water and once with methanol. The crude phthalocyanine was further purified by flash silica gel column chromatography using 75% hexane and 25% toluene as the eluting solvents. Final purification involved reprecipitation from toluene–ethanol to give the NiPc as a green solid in 65% yield (0.76 g); mp 131–133°C. IR (KBr) (cm⁻¹): 2957, 2232. ¹H NMR (CDCl₃) (rel intensity): 472 (M⁺), 332 (45), 262 (100). Anal. calcd. for C₃₁H₳₄N₸O₸Ni: C 71.15, H 9.38, N 5.93; found: C 71.15, H 9.36, N 6.02.

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6.6 mL of DMAE was heated to reflux for 48 h. After cooling to room temperature, the reaction mixture was transferred to test tubes. Precipitation of the nickel phthalocyanine was carried out by adding a 90% methanol and 10% water solution. The precipitate was collected by centrifugation and washed twice with methanol. Further purification of the phthalocyanine was carried out by flash silica gel column chromatography using 20% hexane and 80% toluene as the eluting solvents. Final purification of the NiPc involved precipitation using toluene–hexane. The desired NiPc was obtained as a green solid in 28% yield (59 mg): mp > 300°C.

UV–vis \( \lambda_{\text{max}} \) (toluene) (log e) (nm): 672 (5.17), 640 (4.52), 604 (4.46), 416 (4.37), 336 (4.59), 314 (4.73), 288 (4.78).

\[ \text{H NMR (benzene-} d_6 \text{):} \]
8.93 (s, 1H), 3.88 (s, 2H), 1.26 (s, 9H).

\[ \text{FAB-MS } m/z: \]
1260 (M + 1).

Anal. calcd. for C\(_{72}\)H\(_{96}\)N\(_8\)O\(_8\)Ni: C 68.62, H 7.68, N 8.89; found: C 69.09, H 8.08, N 8.56.

**Conclusion**

Two different symmetrical octaneopentoxyphthalocyanines and a hexadecaneopentyloxophthalocyanine were synthesized by three different routes. All of these neopentoxy-substituted phthalocyanines were highly soluble in organic solvents. The highly hindered hexadecaneopentyloxophthalocyanine (7) exhibited a highly red-shifted Q-band at 758 nm. Variable-temperature NMR spectroscopy on an octaneopentyloxophthalocyanine (3) and particularly, the hexadecaneopentyloxophthalocyanine, demonstrated restricted motion of the neopentoxy groups.

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**References**